## IAP15 Rec'd PCT/PTO 23 OCT 2006

FORM PTO-1390 US DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE ATTORNEY'S DOCKET NUMBER (REV. 01-2003) 128414 TRANSMITTAL LETTER TO THE UNITED STATES U.S. APPLICATION NO. (If known, see 37 CFR 1.5) DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/FR04/03376 December 23, 2004 December 23, 2003 TITLE OF INVENTION METHOD FOR THE INNOFORMULATION OF A BIOCOMPATIBLE GALENIC BASE APPLICANTS FOR DO/FO/US Jean-Noel THOREL; Gerard REDZINIAK Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2.  $\boxtimes$ This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include X items (5), (6), (9) and (21) indicated below. 4. The US has been elected (Article 31). 5. A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a.  $\square$  is attached hereto (required only if not communicated by the International Bureau). b. has been communicated by the International Bureau. c.  $\square$  is not required, as the application was filed in the United States Receiving Office (RO/US). 6. 冈 An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)) a. X is attached hereto. b. as been previously submitted under 35 U.S.C. 154(d)(4). The International Application was filed in English. 7. Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. 

are attached hereto (required only if not communicated by the International Bureau). b. have been communicated by the International Bureau. c. have not been made; however, the time limit for making such amendments has NOT expired. d. In have not been made and will not be made. 8 П An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. An oath or declaration of the inventors (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Report on Patentability under PCT Article 36 10.  $\boxtimes$ (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11. An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13.  $\boxtimes$ A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1.76. 15. A substitute specification. 16.  $\boxtimes$ A power of attorney and/or change of address letter. 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. 18. A second copy of the published international application under 35 U.S.C. 154(d)(4). 19. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. Other items or information: Transmittal of Power of Attorney and Statement Under 37 CFR § 3.73(b)

•	U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 10/583,892		INTERNATIONAL APPLICATION NO. PCT/FR04/03376		ATTORNEY'S DOCKET NUMBER 128414			
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	c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit and Deposit Account No. 15-0461. A duplicate copy of this sheet is enclosed.							
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	NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met a petition to revive 37 CFR 1.137(a) or (b) must be filed and granted to restore the application to pending status.  SEND ALL CORRESPONDENCE TO: OLIFF & BERRIDGE, PLC							
	Customer Number: 25944  NAME: William P. Berridge REGISTRATION NUMBER: 30,024							
	Date October 23, 2006							

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5) 10/583,892	INTERNATIONAL APPLICATION PCT/FR04/03376	TION NO.	ATTORNEY'S DOCKET N 128414	IUMBER					
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International search fee (37 CFR 1.445(a)(2))									
International search report provided to USPTO the search fee is paid									
All situations not provided for above		\$ 500.00							
EXAMINATION FEE (37 CFR 1.492(c)(1)-(2)	:		\$						
International preliminary examination report or written opinion prepared by the USPTO as IPEA or ISA and favorable as to novelty, inventive step, and industrial applicability for all claims presented in the application entering the national phase									
Surcharge of \$130.00 for furnishing the searc	fee, the examination fe	ee or the oath or	\$130.00						
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INDEPENDENT CLAIMS 3 - 3	= 0	x 200.00 = + 360.00 =	\$						
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Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)).									
For former the application assument (2)		NATIONAL FEE =	\$195.00						
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + TOTAL FEES ENCLOSED = \$195.00									
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a.   Check No. 185488 in the amount	of \$195.00 to cover the a	above fees is enclosed	<u> </u>						
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.									
c.  The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>15-0461</u> . A duplicate copy of this sheet is enclosed.									
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.									
SEND ALL CORRESPONDENCE TO: OLIFF & BERRIDGE, PLC									
Customer Number: 25944  NAME: William P. Befridge REGISTRATION NUMBER: 30,024									
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Date October 23, 2006									

## **PATENT APPLICATION**

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Jean-Noel THOREL et al.

Attn: PCT Branch

Application No. 10/583,892

Filed:

October 23, 2006

Docket No.: 128414

For:

METHOD FOR THE INNOFORMULATION OF A BIOCOMPATIBLE GALENIC

**BASE** 

## TRANSMITTAL OF TRANSLATION OF THE ANNEXES TO THE INTERNATIONAL PRELIMINARY REPORT ON PATENTABILITY

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Attached hereto is a translation of the annexes to the International Preliminary Report on Patentability (Form PCT/IPEA/409). The attached translated material replaces the material in the specification at pages 4-5, 11-12, 24-25 and 27-30 in their entirety.

William P. Berridge

Registration No. 30,0

WPB/nxy

Date: October 23, 2006

**OLIFF & BERRIDGE, PLC** P.O. Box 19928 Alexandria, Virginia 22320 Telephone: (703) 836-6400

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